**2015年秋季研究生“中国经济研究I”课程提纲**

**课程名称：**中国经济研究I（课程主要系统深入讲授**新结构经济学**）

**任课教师：**林毅夫

**课程概述：**二次世界大战以后，发展中经济体摆脱殖民地、半殖民地地位，开始自主追求工业化、现代化，但是到2008年全世界近200个发展中经济体当中能够从低收入进入到高收入的经济体只有两个---韩国和我国台湾，能够从中等收入进入到高收入的经济体只有13个，其中有8个是西欧周边原本和发达国家差距就不大的欧洲国家或是石油生产国。如果实现十八大提出的两个翻一番的目标，我国很有可能到2020年前后成为第三个从低收入进入到高收入的经济体。

总结二次世界大战以来发展中经济体所做的经济发展和转型诸多努力的经验，可以发现一个令人深思的现象：凡是根据西方主流的理论来制定发展和转型政策的国家尚未有取得发展和转型成功的例子，而少数发展和转型成功，实现了追赶发达国家目标的发展中经济体，其主要发展或转型政策在推行时通常从当时西方主流的理论来看是错误的。成功总有成功的道理，某些政策从现有的主流理论来看是错误的，却能帮助发展中国家成功，只能说明现有的主流理论并没有真正反映发展中国家经济发展转型背后的因果逻辑，出现这种矛盾的现象正说明是放弃现有主流理论进行理论创新的好机会。进行理论创新的前提是对新的不能用现有的理论来解释的现象的真正的理解，本着近水楼台先得月的认识，这堂课鼓励同学们深入研究中国的经济改革和发展出现的各种新现象，提出可以解释这些现象背后因果逻辑的理论，并做出扎实的实证研究来抓住这个千载难逢的机会。

在这堂课中我将先讲解我对现代经济学研究方法的心得，并通过我和我的合作者所做的研究，来抛砖引玉，培养同学们挖掘中国经济这座经济学研究金矿的能力，并鼓励同学们加入发展经济学的第三版---新结构经济学的研究队伍之中。

**选课要求**：选课同学必须已经**修完研究生高级微观、宏观和计量课程**。

**课程考核：**学期成绩由两部分组成，论文60%，作业40%。

 作业分课前指定阅读材料的预习读书笔记，课后随堂指定练习题目；课程论文在秋季学期完成新结构经济学相关议题的选题与研究设计，后一个春季学期结束时提交完整论文。

**课程奖励：**学期论文设一等奖一名、二等奖两名、三等奖三名

**课程安排：**本课助教为：付才辉（新结构经济学研究中心博士后）

助教咨询方式：fucaihui@nsd.pku.edu.cn (可预约面谈讨论)

课程公共邮箱：nselin@163.com密码nse2015（下材料与通知和交作业论文）

上课时间：第一次课 9月15日晚上7点到10点

 其他课每周四下午2点到5点（6-8节）

上课地点：北京大学国家发展研究院中心小教室（万众楼一楼）

如果上课时间和地点有变化，会随堂或发邮件通知，请选课及旁听同学留意。

**课程讲义：**《新结构经济学导论》

**配套组会**：每周四下午上完课之后晚上六点到十点，选课同学主讲与讨论（9月24日晚上开始第一次组会）

**课程内容：**

**第一讲：经济学研究方法（主讲：林毅夫）（9月15日晚）**

**预先阅读资料：**

\*林毅夫, 《本体与常无：经济学方法论对话》，北京大学出版社，2012

——“与林老师对话—论经济学方法”《本体与常无》，3-91页

——“本土化、规范化、国际化：贺《经济研究》创刊四十周年”《本体与常无》，171-180页

—— “经济学研究方法与中国经济学科发展” 《本体与常无》，181-200页

 Becker, Gary S. The Economic Approach to Human Behavior, Chicago: University of Chicago Press, 1976, Chapter 1.

 Stigler, George J. and Becker, Gary S. “De Gustibus Non Est Disputandum,” American Economic Review 67 (March 1997): 76-90.

 **第二讲：新结构经济学思想框架（主讲：林毅夫）（9月24日）**

林毅夫，“中国的奇迹出版20周年重印序言”，《中国的奇迹：发展战略与经济改革》（增订版），格致出版社、上海三联出版社、上海人民出版社，2014.

林毅夫，“新结构经济学增订版序言”, 新结构经济学：反思经济发展与政策的理论框》（增订版），北京大学出版社，2014，1-14页。

林毅夫,“新结构经济学：反思发展问题的一个理论框架” （包括评论I、II和回应I、II），《新结构经济学：反思经济发展与政策的理论框》（增订版）北京大学出版社，2014，9-130页。

**第三至四讲：新结构经济学原理及其应用I：可变总量函数分析方法（主讲：付才辉）**

 第三讲：结构变迁的价格理论：禀赋结构的供给与需求（10月8日）

 主讲人：付才辉，北京大学国家发展研究院博士后研究员

 预先阅读资料：《新结构经济学导论》（上册）（第一次课堂现场发放打印版讲义）

 第四讲：新结构经济学增长核算与发展核算（时间待定）

**第五至七讲：新结构经济学原理及其应用II：产业动态分析方法（主讲：王勇）**

 第五讲：高级增长理论专题（结构变迁的非平衡增长理论）（12月17日）

 第六讲：禀赋结构、产业动态与经济增长（12月24日）

 第七讲：动态结构分析方法应用（相关议题均可）（12月31日）

 主讲人：王勇，香港科技大学经济系助理教授

联系方式：Email: yongwang@ust.hk（12月17日到31日可预约答疑讨论时间）

预先阅读资料：

**Surveys:**

Herrendorf, Berthold, Richard Rogerson and Akos Valentinyi, 2013, "Growth and Structural Transformation", Handbook of Economic Growth

Matsuyama, Kiminori, 2008, "Structural Change," in L. Blume and S. Durlauf, eds., the New Palgrave Dictionary of Economics, 2nd Edition, Palgrave Macmillan.

**Topics and Papers:**

*A. Basics of Structural Change*

\*Herrendorf, Berthold, Richard Rogerson and Akos Valentinyi, 2013, "Two Perspectives on Preferences and Structural Transformation", American Economic Review, 103(7): 2752-89.

\*Kongsamut, Piyabha, Rebelo, Sergio and Danyang Xie. 2001. "Beyond Balanced Growth." Review of Economic Studies 68: 869-882

\*Ngai, L. Rachel, and Christopher A. Passarides. 2007. "Structural Change in a Multi-Sector Model of Growth." American Economic Review 97 (January): 429-443

*B. Structural Change and Labor Market*

\*Ngai, L. Rachel, and Christopher A. Passarides. 2008. "Trends in Hours and Economic Growth", Review of Economic Dynamics, volume 11(April): 239-256

\*Richard Rogerson, 2008. "Structural Transformation and the Deterioration of European Labor Market Outcomes," Journal of Political Economy, 116(2): 235-259.

C. Structural Change and Aggregate Productivities

\*Caselli, Francesco, and John Coleman. 2001. "The U.S. Structural Transformation and Regional Convergence: A Reinterpretation." Journal of Political Economy 109 (June): 584-617.

\*Duarte, Margarida and Diego Restuccia, 2010. "The Role of Structural Transformation in Aggregate Productivity", Quarterly Journal of Economics
  *D. Structural Change and Capital Intensities*

\*Daron Acemoglu, and Veronica Guerrieri. 2008. "Capital Deepening and Nonbalanced Economic Growth." Journal of Political Economy 116 (June): 467-498.

\*Jiandong Ju, Justin Yifu Lin, and Yong Wang. 2014. "Industrial Dynamics, Endowment Structure, and Economic Growth", HKUST working paper

*E. Structural Change and Income Effect*

Foellmi and Zweimuller, 2008. "Structural Change, Engels Consumption Cycles and Kaldors Facts of Economic Growth", Journal of Monetary Economics, 55: 1317-1328.

Boppart, Timo. 2014. "Structural Change and the Kaldor Facts in a Growth Model with Relative Price Effects and Non-Gorman Preferences", Econometrica, 2014

Laitner, John. 2000. "Structural Change and Economic Growth." Review of Economic Studies 67(July): 545-561

*F. Structural Change and Service*

\*Buera, Francisco J. and Joseph P. Kaboski. 2012 . "The Rise of the Service Economy", American Economic Review

Lee and Wolpin, 2006. Intersectoral Labor Mobility and the Growth of the Service Sector,Econometrica 47: 1-46.

Autor, David H., and David Dorn. 2013. "The Growth of Low-Skill Service Jobs and the Polarization of the US Labor Market." American Economic Review, 103(5): 1553-97.

G. Structural Change and Balanced Trade

 \*Mastuyama, Kiminori. 2009. "Structural Change in an Interdependent World: A Global View of Manufacturing Decline," Journal of the European Economic Association, 7 (April-May): 478-486.

Uy, Timothy, Kei-Mu Yi and Jing Zhang. 2013. "Structural Transformation in an Open Economy." Journal of Monetary Economics

 Wang, Yong. 2013. "International Trade, Industrial Dynamics, and Economic Growth", HKUST working paper

*H. Structural Change, Unbalanced Trade, and Balassa-Samuelson Implications*

Kehoe, Tim, Kim J. Ruhl and Joseph B. Steinberg, 2013. "Global Imbalances and Structural Change in the United States", University of Minnesota, working paper.

Wang, Yong, Jenny Xu, and Xidong Zhu. 2014. "Structural Change, Trade Balance, and Real Exchange Rate: Theory and Applications to China and US", working paper (in progress)

Michael Sposi, 2012, "Evolving Comparative Advantage, Structural Change, and the Composition ofTrade", working paper

*I. Other Research on Structural Change*

 Buera, Francisco J. and Joseph P. Kaboski. 2012.  Scale and the Origins of Structural Change,Journal of Economic Theory 147: 684-712

Ilyina, Anna and Roberto Samaniego. 2012. "Structural Change and Financing Constraints". Journal of Monetary Economics 59(2), March 2012, 166-179.

 \*Imbs, Jean, and Romain Wacziarg. 2003. "Stages of Diversication " American Economic Review, 93(1): 63-86.
 \*Li, Xi, Xuewen Liu, and Yong Wang. 2012. " A Model of Chinas State Capitalism", HKUST working paper

Cao, Kang Hua, Javier A. Birchenall. 2013. "Agricultural Productivity, Structural Change, and Economic Growth in Post-Reform China", Journal of Development Economics, 104 (September): 165-180

*J. Product Cycles, Firm Dynamics, and Industry Dynamics*

Grossman, Gene M., and Elhanan Helpman. 1991. "Quality Ladders and Product Cycles." Quarterly Journal of Economics, 106 (1991): 617-650

Hopenhayn, Hugo A, 1992. "Entry, Exit, and Firm Dynamics in Long Run Equilibrium," Econometrica, vol. 60(5), pages 1127-50, September.

Boyan Jovanovic and Saul Lach, 1989. Entry, Exit, and Di¤usion with Learning by Doing, The American Economic Review, Vol. 79, No. 4: 690-699

Boyan Jovanovic and Glenn M. MacDonald, 1994, "The Life Cycle of a Competitive Industry", Journal of Political Economy 102 (2): 322-347

\*Rossi-Hansberg, Esteban and Mark Wright. 2007. Establishment Size Dynamics in the Aggregate EconomyAmerican Economic Review Volume 97, Number 5, pp. 1639-1666(28)

Atkenson, Andrew and Kehoe, Patrick J. Modeling and Measuring Organization Capital, Journal of Political Economy, 2005, 113(5): 1026-1053

\*Kiminori Matsuyama, 2002. "The Rise of Mass Consumption Societies," Journal of Political Economy, vol. 110(5), pages 1035-1070

Stokey, Nancy L. 1988. "Learning by Doing and the Introduction of New Goods." Journal of Political Economy, 96: 701-717.

\* Wang, Yong. 2012. Market Structure, Factor Endowment, and Industrial Upgrading, HKUST working paper

V. V. Chari and Hugo Hopenhayn, 1991. "Vintage Human Capital, Growth, and the Di¤usion of New Technology", Journal of Political Economy, Vol. 99(6): 1142-1165

Samaneigo, Roberto, 2010. "Entry, Exit and Investment-Specic Technical Change", American Economic Review 100(1): 164-192.

\*Klette and Kortum, 2004, Innovating Firms and Aggregate Innovation, Journal of Political Economy, 112(5): 986-1018.

Daron Acemoglu, Gino Gancia , and Fabrizio Zilibotti, 2011. Competing Engines of Growth: Innovation and Standardization, Journal of Economic Theory

*K. Spatial Models of Industries and Growth*

Desmet and Rossi-Hansberg, 2009, Spatial Growth and Industry Age,Journal of Economic Theory,144(6):2477-2502.

Lucas and Rossi-Hansberg, 2002, On the Internal Structure of Cities,Econometrica, 70(4):1445-1476.

Rossi-Hansberg and Wright, 2007, Urban Structure and Growth,Review of Economic Studies, 74(2),597-624.

Duranton and Puga, 2001, Nursery Cities: Urban Diversity, Process Innovation, and the Life Cycle of Products,American Economic Review, 91(5):1454-1477.

*L. Industry Upgrading and Labor Market Mismatch*

 Shimer, Robert. 2007. "Mismatch." American Economic Review, 97(4): 1074-1101.

 Sahin, A., J. Song, G. Topa, and G.L. Violante, 2012. "Mismatch Unemployment," AER, forthcoming.

McLaughlin, Kenneth and Mark Bils, 2001. "Interindustry Mobility and the Cyclical Upgrading of Labor," Journal of Labor Economics, 19, 94-135.

Myungkyu Shim and Hee-Seung Yang, 2014. "Interindustry Wage Di¤erentials, Technology Adoption, and Job Polarization", working paper

*M. Middle-Income Trap*

\*Acemoglu, Daron, Philippe Aghion, and Fabrizio Zilibotti. 2006. "Distance to Frontier, Selection, and Economic Growth", Journal of the European Economic Association, 4: 37-74.

Yong Wang and Shang-Jin Wei. "International Trade, Economic Growth, and Middle-Income Trap",

working in progress

Justin Yifu Lin and YongWang. "Industrial Upgrading, Structural Change, and Middle-Income Trap".

\*Ju, Jiandong , Justin Yifu Lin, Yong Wang. 2011. Marshallian Externality, Industrial Upgrading, and Industrial Policies, HKUST working paper

\*Wang, Yong, 2014, "A Model of Sequential Reforms and Economic Convergence: The Case of China", China Economic Review

第八讲：新结构产业经济学（待定）

第九讲：新结构劳动经济学（（待定）

第十讲：新结构金融经济学（待定）

第十一讲：新结构区域经济学（待定）

第十二讲：新结构国际经济学（待定）

第十三讲：新结构周期理论（待定）

第十四讲：新结构转型经济学（待定）

第十五讲：新结构制度经济学（待定）

第十六讲：新结构国际发展学（待定）